



EXH. I

RECEIVED

PTB

COPY OF PAPERS
ORIGINALLY FILED

JUN 20 2002

Please type a plus sign (+) inside this box ☐

PTO/SB/01 (12-97)
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

<input type="checkbox"/> Customer Number <input type="text"/>		<input type="checkbox"/> Registered practitioner(s) name/registration number listed below	
Name	Registration Number	Name	Registration Number
Paul H. Gallagher	14,979		

Place Customer Number Bar Code Label here

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label OR ☐ Correspondence address below

Name	Paul H. Gallagher				
Address	2439 Jackson Ave				
Address					
City	Evanston,	State	IL	ZIP	60201
Country	USA	Telephone	847-328-7519	Fax	847-475-5226

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))	Family Name or Surname
Aleks D	Nikolich

Inventor's Signature	<i>Aleks D. Nikolich</i>	Date	10/19/01				
Residence: City	Shorewood	State	WI	Country	USA	Citizenship	USA

Post Office Address P O Box 11639

Post Office Address 4465 No. Oakland Ave - Suite 303

City	Milwaukee	State	WI	ZIP	53211	Country	USA
------	-----------	-------	----	-----	-------	---------	-----

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

EXH. 1a



COPY OF PAPERS
ORIGINALLY FILED

- (X) Transmittal Letter - 1 *Provisional*
(X) PATENT APPLICATION *Provisional*
(X) Specification (including Claims if any) 13 Pgs.
() Abstract of Disclosure
() Information Disclosure Statement
() Patent Claims
() Declaration & Power of Attorney
() Declaration Claiming Small Entity Status
() Assignment and Cover Sheet
(X) Drawings 12 Sheets
() Response to Missing Parts Notice
() Response to Office Action/Amendment
() Request for Ext. of Time for filing ()
() Issue Fee Transmittal
() Formal Drawings _____ Sheets
() Maintenance Fee Transmittal
(X) Fee Amount \$ 75 Check No. 111668
() Fee Amount \$ _____ Check No. _____
() Other (Specify) _____

Inventor: Aleks D. Nikolic

Title: Supply Cart System

() Serial/Patent No. _____

Due Date: _____ Mail Date: 2-22-01

File No.: 50101-9001 Attorney: TSR

RECEIVED

JUN 20 2002

OFFICE OF PETITIONS

COPY OF PAPERS
ORIGINALLY FILED



DECLARATION OF APPLICANT
ALEKS NIKOLICH

Applicant: Aleks Nikolich
Title: Automated Supply Cart
and System
Case 1

RECEIVED
JUN 20 2002

OFFICE OF PETITIONS

1. I, Aleks Nikolich, and the person named as applicant in the above patent application,
2. I present this declaration in support of the accompanying request of my attorney Paul H. Gallagher, to establish a filing date of that application.
3. I have read that request fully and I understand the statements made therein and I believe all of them to be true.
4. In connection with that application, I had telephone conversations with Mr. Gallagher on a number of occasions following sending of the application originally to the Patent Office in October 2001, and he informed me of the slow down that occurred in the Patent Office in processing patent applications. He informed me that he did not receive an acknowledgement of the application that was mailed in October 2001 and I then became concerned about the loss of rights in connection with the invention covered by the application.
5. Therefore, on about January 24, 2002, I called to the Patent Office and explained to the person who answered, the circumstances concerning the patent application that was mailed in October 2001


Ex. 1. 4
P. 2

and I was told that the conditions in the Patent Office had lately improved and that I should refile the application at that time.

6. I then informed Mr. Gallagher of the situation and the recommendations from the Patent Office, and in that conversation Mr. Gallagher approved the procedure and recommended that a duplicate copy of the application be then re-sent to the Patent Office for filing.

7. He later told me that he followed those instructions and sent in a duplicate copy of the application, as referred to in Mr. Gallagher's letter accompanying this declaration.

8. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States and that willful false statements may jeopardize the validity of this application and any patent issued thereon.

 June 5, 2002

Tel: 414,906,9170

Aleks Nikolich
4465 North Oakland Avenue, Suite 303
Milwaukee, WI 53211



EXH-8

PTO/SE/OS 112-87

Approved for use through 5/30/00. OME 0651-003
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR

Docket Number (Optional)
Case 1

Applicant, ~~Patent Inventor~~ Aleks D. Nikolich **COPY OF PAPERS
ORIGINALLY FILED**
Application or Patent No.: Filed Herewith
Filed or Issued:
Title: Automated Supply Cart and System

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

RECEIVED

JUN 20 2002

OFFICE OF PETITIONS

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Aleks D. Nikolich

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Aleks D. Nikolich
Signature of inventor

Signature of inventor

Signature of inventor

January 25, 2002
Date

Date

Date

EXH. 9



Northwestern
University
SESQUICENTENNIAL 1851-2001

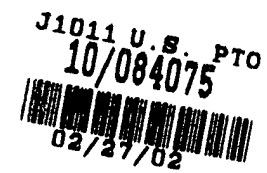
USA 20

Paul H. Gallagher
2439 Jackson Avenue
Evanston, IL 60201

73



The stamp of the Patent Office hereon
indicates receipt of Nikolich,
application for patent on automated
supply cart and system, casel.



RECEIVED

JUN 20 2002

OFFICE OF PETITIONS